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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO	
10/803,694	03/18/2004	Sanjay Gurbasappa Charati	135140-2	7808	
23413	7590 07/23/2004		EXAMINER		
CANTOR COLBURN, LLP 55 GRIFFIN ROAD SOUTH			BOYKIN, TERRESSA M		
	D, CT 06002		ART UNIT	PAPER NUMBER	
			1711		

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>.</b>		Application No.	Applicant(s)	W				
Office Action Summary		10/803,694	CHARATI ET AL.					
		Examiner	Art Unit					
		Terressa M. Boykin	1711					
Period fo	The MAILING DATE of this communication or Reply	appears on the cover sheet wit	th the correspondence address	5				
THE - Exte after - If the - If NC - Failt Any	ORTENED STATUTORY PERIOD FOR RE MAILING DATE OF THIS COMMUNICATIOnsions of time may be available under the provisions of 37 CFI SIX (6) MONTHS from the mailing date of this communication be period for reply specified above is less than thirty (30) days, at period for reply is specified above, the maximum statutory peure to reply within the set or extended period for reply will, by streply received by the Office later than three months after the med patent term adjustment. See 37 CFR 1.704(b).	ON. R 1.136(a). In no event, however, may a re i. It reply within the statutory minimum of thirty riod will apply and will expire SIX (6) MON atute, cause the application to become AB.	eply be timely filed  y (30) days will be considered timely.  THS from the mailing date of this commun  ANDONED (35 U.S.C. § 133).	ication.				
Status								
1)🛛	Responsive to communication(s) filed on 2	4 March 2004.						
2a) <u></u> □								
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is								
	closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.							
Disposit	ion of Claims							
4) 🖂	Claim(s) 1-40 is/are pending in the application	tion.						
·	4a) Of the above claim(s) is/are withdrawn from consideration.							
5) Claim(s) is/are allowed.								
	6)⊠ Claim(s) <u>1-4,13-14, 17, 19-24, 33-36,38-40</u> is/are rejected.							
·	7) Claim(s) <u>5, 6 - 9, 10, 11, 12, 15, 16, 18, 25-32, 37</u> is/are objected to.							
8)[_	Claim(s) are subject to restriction ar	id/or election requirement.						
Applicat	ion Papers							
9)[	The specification is objected to by the Exan	niner.						
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.								
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).								
	Replacement drawing sheet(s) including the cor			` '				
11)	The oath or declaration is objected to by the	e Examiner. Note the attached	Office Action or form PTO-15	52.				
Priority (	ınder 35 U.S.C. § 119							
12)	Acknowledgment is made of a claim for fore	eign priority under 35 U.S.C. §	119(a)-(d) or (f).					
· ·	☐ All b)☐ Some * c)☐ None of:		(, (,					
	1. Certified copies of the priority docum	ents have been received.						
	2. Certified copies of the priority docum	ents have been received in A	pplication No					
	3. Copies of the certified copies of the p		received in this National Stag	е				
	application from the International Bu	, , , ,						
* `	See the attached detailed Office action for a	list of the certified copies not i	received.					
Attachmen	t(s)							
1) Notice	e of References Cited (PTO-892)		ummary (PTO-413)					
	e of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO-1449 or PTO/SB		)/Mail Date formal Patent Application (PTO-152)					
	r No(s)/Mail Date	6) Other:						

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## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-4,13-14, 17, 19-24, 33-36,38-40 are rejected under 35 U.S.C. 102(b) as being anticipated by **USPub 20040009346**; **USPub 20030216502**; **USPub 20040028859** 

Which contains the carbon nano-particle. For a polymer composite with the transparency, the size of particle added or the size of aggregators of the particles must be less than 1/2 of the shortest wavelength of a visible ray. Since the carbon nano-particle of the present invention has the mean particle diameter of 1 through 50 nm, preferably, 1 through 10 nm, more preferably 1 through 5 nm and can be easily dispersed in a polymer resin, it meets the requirements for maintaining the transparency. Therefore, by blending the carbon nano-particle of the present invention into a transparent polymer resin, it is possible to make a polymer composite with the transparency while maintaining the electric

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conductivity.

Examples of the transparent polymer resins to be used in the present invention include polyethylene, polypropylene, polystyrene, polycarbonate, polyethylene terephthalate, polymethyl methacrylate, etc. Of them, the polycarbonate can be preferably used in view of strength and anti-scratch property. Note applicants' claim 19 regarding ehtylenically unsaturated monomers.

With regard to claim 13 note that the reference discloses monomers and catalysts to be used in the method according to the present invention are not particularly limited, if they can be used in the emulsion polymerization at a low temperature. Examples of the monomers include styrene, butadiene, pyrrole, aniline, thiopen, methyl methacrylate, poly(3,4-ethylenedioxythiophene) (PEDOT), etc.

USPub 20030216502 discloses a composite comprising a weight fraction of single-wall carbon nanotubes and at least one polar polymer wherein the composite has an electrical conductivity of at least about 35 S/cm multiplied by the weight fraction of the nanotubes in the composite. The composite of claim 1 wherein the polar polymer is selected from the group consisting of polycarbonate, poly(acrylic acid), poly(methacrylic acid), polyoxide, polysulfide, polysulfone, polyamide, polyester, polyurethane, polyimide, poly(vinyl acetate), poly(vinyl alcohol), poly(vinyl chloride), poly(vinyl pyridine), poly(vinyl pyrrolidone), copolymers thereof, and combinations thereof. (Note applicants' claims 14, 17 regarding polyimide, polyamide etc.)

The reference further discloses a method for forming a composite comprising a

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weight fraction of single-wall carbon nanotubes and at least one polar polymer comprising: a) dispersing a weight fraction of single-wall carbon nanotubes and at least one polar polymer in a solvent to make a nanotube-polymer suspension; and b) removing the solvent from the suspension to form a nanotube-polymer composite wherein the polymer composite has an electrical conductivity of at least about 35 S/cm multiplied by the weight fraction of the nanotubes in the composite.

USPub 20040028859 discloses a coating composition having outstanding electrically conductive and electromagnetic radiation absorptive properties with an emulsion polymer binder. The binder is a blend of a first emulsion containing a conjugated diene as monomer or comonomer, and a second emulsion containing

an acrylic, aliphatic or aromatic polyurethane, polyester urethane, polyester, epoxy, polyamide, polyimide, vinyl, fluoropolymer, or silicone polymer. Note applicants' claim 14, 17 regarding polyimides, polyamides etc.

The carbon nanotube particles can be a carbon nanotube with a single wall or a multi-wall structure. They may be a hollow structure or a bamboo structure. The diameter of the carbon nanotubes can range from about 10 to about 60 nanometers. The length of the carbon nanotubes can range from less than 1 micron to about 40 microns.

Note claims 1, 14, 25, 27, and 28 of the reference.

The reference further relates to a coated substrate. The substrate is typically paper, cloth, plastics such as polycarbonate, acrylic, nylon, polyester, rubber, steel, composite materials or fiber reinforced plastics, such as fiberglass and the like. The substrate may be a plastic component of an electronic device. The substrate may also be a pipe, a rubber mat, or the like or even a room, building,

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temporary facility, or a vehicle such as an aircraft, tank or ship. The second emulsion is an acrylic, aliphatic or aromatic polyurethane, polyester urethane, polyester, epoxy, polyamide, polyimide, vinyl, modified acrylic, fluoropolymers, or silicone polymer. Note applicants' claim 14.

The blending of the second polymer emulsion with the first polymer emulsion results in several desirable properties and improvements in the characteristics of the first emulsion. The second emulsion enhances the chemical resistance of the first emulsion, improves its hardness while retaining its flexibility, enhances its adhesion properties to create a stronger bond with a substrate, and results in better flow properties. The use of the second emulsion may also improve the exterior durability of the first emulsion as well as its abrasion resistance. In cases where an epoxy, urethane, fluoropolymer, or silicone polymers are used, an increased level of performance is found for areas of adverse conditions such as sea water environments, harsh chemical environments and areas of high wear and corrosion.

With regard to applicants' claim 13 note that the reference discloses that the first emulsion preferably includes an ethylenically unsaturated comonomer which is typically an unsaturated nitrile such as acrylonitrile, a monovinyl aromatic hydrocarbon such as styrene, or vinylpyridine.

With regard to articles made therefrom, note claim 28 of the reference.

With regard to claims 2 and 3, as well as claim 22, any properties or characteristics inherent in the prior art, e.g. the electrical bulk volume resistivity or the electrical surface resistivity...., although unobserved or detected by the reference, would still anticipate the claimed invention. Note In re Swinehart, 169 USPQ 226. "It is elementary that the mere recitation of a newly

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discovered...property, inherently possessed by things in the prior art, does not cause claim drawn to those things to distinguish over the prior art". Since the disclosed characteristics or parameters above are expressed differently and thus may be distinct from those claimed, it is incumbent upon applicant(s) to establish that they are in fact different and whether such difference is unobvious.

Thus in view of the above, there appears to be no significant difference between the references and that which is claimed by applicant(s). Any differences not specifically mentioned appear to be conventional. Consequently, the claimed invention cannot be deemed as novel and accordingly is unpatentable.

## **Objected Claims**

Claims 5, 6 - 9,10,11,12, 15,16,18,25-32, 37 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

## <u>Correspondence</u>

Please note that the <u>cited</u> U.S. patents and patent application publications are available for download via the Office's PAIR. As an alternate source, <u>all</u> U.S. patents and patent application publications are available on the USPTO web site (<u>www.uspto.gov</u>), from the Office of Public Records and from commercial sources. Applicants may be referred to the Electronic Business Center (EBC) at <a href="http://www.uspto.gov/ebc/index.html">http://www.uspto.gov/ebc/index.html</a> or 1-866-217-9197.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Examiner Terressa Boykin whose telephone number is 571 272-1069. The examiner can normally be reached on Monday through Friday from 6:30am to 3:00pm.

The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306. The general information number for listings of personnel is ( **571-272-1700**).

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

tmb

Examiner Terressa Boykin

Primary Examiner

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